

MINISTRY OF GENDER, LABOUR AND SOCIAL DEVELOPMENT

HOUSEHOLD VULNERABILITY ASSESSMENT TOOL (HVAT) – Adapted for FARE Project

The Household Vulnerability Assessment tool (HVAT) is for assessment of families selected through the vulnerability prioritization process. This adapted tool helps to obtain in-depth baseline information about a family's level of vulnerability to family-child separation, which will be used for monitoring progression of FARE beneficiary families' vulnerability to family-child separation. The tool should be used with only households identified and prioritized using the Household Vulnerability Prioritization Tool (HVPT), and it should be administered only to families who will be supported. The tool should be applied after enrolment of families, at the end of 6 months, at the end of 12 month and at the end of 18 months or end of FARE Project.

SECTION 0: BACKGROUND INFORMATION

INSTRUCTIONS: Please administer this tool to the head of household (spouse or child in case of a child headed household). Provide background information for the household. Indicate all the required information on the members of the household, the required contact details and the Temporary HH Number assigned by A FARE project staff as indicated on the Household Vulnerability Prioritization Tool (HVPT). For each of the vulnerability categories, tick under Yes or No or Not Applicable (NA) as applicable. For Sex, indicate whether Male (M) or Female (F). For immunization and birth registration, check for immunization and birth registration certificates; while for date of birth, indicate the date, month and year. In the event that the two certificates are not available, take the information that is given. If the dates are not known, write not known. For HIV status, indicate unique codes of Positive (+), Negative (-) or Don't Know (DK).

SECTION I: HOUSEHOLD INFORMATION

INSTRUCTION: Ask each question and circle the appropriate response option. After circling the response, please write in the corresponding score to the far right hand column (labeled SCORE). At the end of each Core Program Area (CPA), add the scores for all questions and write them down under "CPA TOTAL" row.

Finally, **score all questions except 7.0**. Add up all relevant scores within each CPA and enter them under CPA Total. Compute the average SCORE for the Household by considering the scores under the different CPAs and indicate them in the table at the end accordingly.

SECTION 0: BACKGROUND INFORMATION

0.1 District	0.2 Sub-county/division/town council
0.3 Date of interview	0.4 Name and mobile contact number of HH head
0.5 Parish/ward	0.6 Village/zone
0.7 Name of IP	0.8 Name of interviewer
0.9 Name & contact of sub-county CDO	0.10 HH Number

0.11 Age of HH head	0.13 Phase of HVAT administration 1. 1 st 2. 2 nd 3. 3 rd 4. 4 th	0.14 Sex of HH head 1. Male 2. Female
0.12 Number of non-biological children to the caregiver/head of HH		

0.15 Marital status of HH head 1. Single 2. Married/cohabiting 3. Widowed 4. Separated/divorced 5. NA (if child)	0.16 Education level of HH head 1. None 2. Primary 3. Secondary 4. Tertiary	0.17 Number of people in the HH by age group		
		Age group (yrs)	Male	Female
		Under 1		
		1-4		
		5-9		
		10-14		
		15-17		
		18-24		
25+				
0.18 If HH is reintegrating a child through FARE, is child still resident in HH? (skip if prevention HH) 1. Yes 2. No, explain If NO: Is child still connected to the HH? 1. Yes 2. No		0.19 Was there any change on the HH roster that indicates a child is missing from the HH? 1. Yes 2. No If Yes, explain		

Household summary

0.20 Name of child	0.21 Sex (M/F)	0.22 Age	0.23 Date of birth (DD/MM/YY)	0.24 Biological child to caregiver/HH head?	0.25 Living in HH 6 of 12 last months?	0.26 Household member? Apply PPI rules	0.27 Out of school (Yes/No/NA)	0.28 Enrolled in school (Yes/No/NA)	0.29 Child whose 1 or both biological parents are dead (Orphan) (Yes/No/DK)	0.30 Disabled (Yes/No)	0.31 Chronically III (Yes/No)	0.32 Immunized (Yes/No/DK)	0.33 HIV Status (+/-?DK)	0.34 In HIV Care (Yes/No/NA)	0.35 Birth Registration. (Yes/No/DK)
1.															
2.															
3.															
4.															
5.															
6.															
7.															
8.															
9.															
Name of adult (18 +)															
1.															
2.															
3.															
4.															
5.															
6.															
7.															
8.															
9.															

Total people: _____ # of children 5-17: _____ # of children 5-17 currently in school: _____

Total HH members (PPI criteria): _____ # of children 6-12 currently in school: _____

SECTION I: HOUSEHOLD (HH) ASSESSMENT

CPA I: ECONOMIC STRENGTHENING

Questions and Responses										SCORE	
I.1 Who pays for most of the HH expenses?											
Option	a) Child (6-17 years)	b) Grand/elderly parent	c) Relative	d) Mother	e) Father						
Score	4	3	2	1	0						
I.2 What is the MAIN source of household income?											
Option	a) None	b) Remittances Pension, gratuity, donations	c) Casual laborer	d) Informal job / employment	e) Peasantry farming / Hiring out labour on other farms/ garden	f) Petty businesses	g) Formal business	h) Commercial farming	i) Formal job/ employment		
Score	4	3	2	2	2	1	0	0	0		
I.3 What is the current monthly HH income? (express amount in Uganda Shillings, then score according to range)											
_____ Uganda Shillings											
Option	a) Less than 50,000	b) 50,000-100,000	c) 100,000-150,000	d) 150,000-200,000	e) Above 200,000						
Score	4	3	2	1	0						
I.3A How much money does the household have in savings?											
_____ Uganda Shillings											
Option	a) Less than 30,000	b) 30,000-60,000	c) 60,000-90,000	d) 90,000 – 120,000	e) Above 120,000						
Score	4	3	2	1	0						
I.4 Do these statements apply to this HH? (Yes/No)											
									Yes	No	
	1)	Any member of the HH owns an electronic gadget (radio, phone, TV)									
	2)	Any member of the HH has a functional transport means (bicycle, motor cycle, boat)									
	3)	At least one member of the HH has vocational/apprenticeship/professional skills									
	4)	At least one member of the HH has formal employment, is self-employed, or has a business									
	5)	At least one member of the HH belongs to any financial savings and lending group									
	6)	HH has access to land for agriculture									
Option	a) If 4 or more are No	b) If 3 are No	c) If 2 are No	d) If 1 is No	e) If more than 4 are yes or NA						
Score	4	3	2	1	0						
I.5A. In how many of the last three months have you consistently been able to pay for the following items without having to sell HH productive assets like land, bicycle or borrowing at very high rates of interest (more than 30%)?											
										Number of months (0 – 3)	
	1) Food, Shelter, and Water										
	2) Health care										
	3) Education										
Add total months (1+2+3) →											
Option	a) Total = 9	b) Total = 8	c) Total = 7	d) Total = 4-6	e) Total = 0-3						
Score	0	1	2	3	4						

Questions and Responses				SCORE
1.5B	If you had an unexpected shock, like a death in the family, happen tomorrow, how would you handle the expenses? (tick all that apply)			
	Option (do not read the options below, wait for the response and then tick those that correspond)	Tick all that apply	Circle highest score	
	1) Pay with cash on hand/savings		0	
	2) Seek contributions/gifts from friends, relatives, community members church help etc		1	
	3) Request help from a charitable organization, CBO, NGO		1	
	4) Borrow from a friend or relative or savings group and pay back later		1	
	5) Look for another source of income near my home		1	
	6) Reduce household spending a little		2	
	7) Reduce household spending a lot		3	
	8) Sell small livestock, household goods or items used in the household		3	
	9) Migrate for work		4	
	10) Borrow from moneylender at high interest		4	
	11) Sell bicycle, land, tools or other items that help produce income		4	
	12) Break up the household—send children to others to care for		4	
	13) Go without food		4	
	14) Engage in transactional sex or illegal activities		4	
Score				
CPA I TOTAL:				

CPA 2: FOOD SECURITY AND NUTRITION					
Questions and Responses					SCORE
2.1.	Over the past [12 months (baseline)/6 months (subsequent)], what has been the MAIN source of food consumed by your HH?				
Option	a) Donated	b) Given in return for work only	c) Bought from the market	d) Home grown	
Score	4	2	1	0	
2.2.	What does the family usually eat? (at least 3 times a week)			Yes	No
	1) Energy foods; potatoes, banana, oils, posho, millet, rice, maize, bread, cassava				
	2) Body building foods; beans, meat, soya, peas, milk, eggs, chicken, fish				
	3) Protective and regulative foods; greens, tomatoes, oranges, pawpaw, mangoes, pineapples				
Option	a) None	b) One food group	c) Two food groups	d) All food groups	
Score	4	3	1	0	
2.3.	How many meals does the HH have in a day?				
Option	a) Some days no meal	b) One meal	c) 2 meals per day	d) 3 or more meals per day	
Score	4	3	1	0	
CPA 2 TOTAL:					

CPA 3: HEALTH, WATER, SANITATION AND SHELTER

	Questions and Responses					Yes	No	N/A	SCORE
3.1	Do the following apply to this HH? Indicate (Yes/No) (observe for yourself where applicable)								
	1) Does the HH have access to safe water within 30 minutes (half an hour) or harvests rain water for domestic use?								
	2) Does the HH have a clean compound ?								
	3) Does the HH have access to a public health facility within 5 kilometers ?								
	4) Does the HH have a drying rack for HH utensils ?								
	5) Does the HH have a garbage pit or dust bin?								
	6) Does the HH have a separate house for animals?								
	7) Does the HH have clean water and soap for hand washing ?								
	8) Do all HH members sleep under a mosquito net?								
Option	a) If 4 or more are No	b) If 3 are No	c) If 2 are No	d) If 1 is No	e) If all are Yes or N/A				
Score	4	3	2	1	0				
3.2	Does the caregiver know the HIV status of children in the HH? If yes, how many are known?								
Option	a) None known	b) Less than 50% (less than half) of the children's status known	c) 50% or more (more than half) of the children's status known	d) Yes, all known					
Score	4	3	2	0					
3.3	Are all eligible children who are known to be HIV positive and or have TB on treatment								
Option	a) None of the children on care or treatment	b) Less than 50% (less than half of children) are on care or treatment	c) 50% (half of children) are on care or treatment	d) All are on care or treatment	e) No eligible children known to be HIV positive or have TB				
Score	4	3	2	0	0				
3.4	Does the household have a stable shelter that is adequate, safe and dry (observe yourself)								
Option	a) No stable shelter, adequate or safe place to live	b) Shelter is not adequate, needs major repairs	c) Shelter needs some repairs but is fairly adequate, safe and dry	d) Shelter is safe, adequate and dry					
Score	4	3	1	0					
3.5	What is the type of a latrine/toilet facility used by members of your HH? (observe yourself or ask if necessary)								
Option	a) Bush/None	b) Public toilet for pay	c) Private needs some repair/risky state	d) Private, but shared by more than one HH	e) Safe, adequate and dry				
Score	4	3	2	1	0				
CPA 3 TOTAL:									

CPA 4: EDUCATION

	Questions and Responses					SCORE
4.1	How many children aged 5-17 years in this HH are not going to school or miss school regularly?					
Option	a) No children attend regularly	b) Less than 50% (less than half) attend school regularly	c) 50% or more (more than half) attends school regularly	d) All attend school regularly	e) Children aged under 5 only	
Score	12	9	4	0	0	
CPA 4 TOTAL:						

CPA 5: PSYCHOSOCIAL SUPPORT AND BASIC CARE

Questions and Responses					SCORE
5.1	In the past 6 months (STATE MONTH:.....), how often has someone in your household felt so troubled that it was necessary to consult a spiritual, faith or traditional healer, counselor or health worker?				
Option	a) More than 5 times	b) 3-4 times	c) 2 times	d) Once	e) Never
Score	4	2	2	1	0
5.2	Are there any children in this HH who are withdrawn or consistently sad, unhappy or depressed, not able to participate in daily activities including playing with friends and family? (Yes/No) If yes, how many?				
Option	a) All children	b) Less than 50% (less than half)	c) 50% or more (more than a half)	d) None	
Score	4	3	2	0	
5.2A	In times of need, who can you approach outside the household for emotional support? (count those mentioned)				
Option	a) Nobody	b) 1 person	c) 2 people	d) 3 or more people	
Score	8	4	1	0	
5.2B	In times of need, who can you approach outside the household for material support, such as food or money? (count those mentioned)				
Option	a) Nobody	b) 1 person	c) 2 people	d) 3 or more people	
Score	4	3	1	0	
CPA 5 TOTAL:					

CPA 6: CHILD PROTECTION AND LEGAL SUPPORT

Questions and Responses					SCORE
6.1	What would you do if any of your children experienced or became a victim of child abuse or violence?				
Option	a) Nothing/negotiate with offender	b) Talk to neighbor/family only	c) Report to LC/Police/Probation, court, child protection committee, CDO, Human rights office, CSO, para social worker and VHT		
Score	4	1	0		
6.1A	Do all children in this household have a birth certificate? (Yes/No) If no, how many do have a certificate?				
Option		a) No, Less than 50% of children have a birth certificate (0-49%)	b) No, 50% or more of children have a birth certificate	c) Yes, All children	
Score		4	2	0	
6.1B	In the past three months, have you or another caregiver used the following method of discipline with any child in your house?			Yes	No
	1) Punched, kicked or hit a child with any object				
	2) Withheld a meal to punish a child				
	3) Used abusive words/language toward the child				
Option	a) If two or more of the methods are checked	b) If at least one of the methods is checked	c) If all No		
Score	8	4	0		
6.1C	Are there any children of this household, under 18 years, who are not currently living here or have not lived with you at some point during the past 6 months?			Yes	No
6.1D	If yes, why are they not living in the household?				
Option	a) If the child went to work/for a job, ran or was chased away, or caregiver doesn't know where the child is	b) If the child does not like staying in this house	c) If the child is living with relative because family cannot support him	d) If the reason is child went to school	
Score	4	3	2	0	

Questions and Responses						SCORE		
6.2A	Since the last assessment (STATE MONTH:), has any child in the HH had the following happen to them, in or outside of the HH? [Ask "In the last 12 months" at baseline and "Since last assessment" on follow-ups] [Note: if you see an obvious issue of abuse, or you already know about it, then indicate yes and follow appropriate reporting.] Check Yes/No				Yes	No		
					1) Repeated physical abuse			
					2) Involved in child labour			
					3) Sexually abused, defiled, raped, forced into sex			
					4) Stigmatized/discriminated due to illness, disability or otherwise			
					5) Neglected			
					6) Been in conflict with the law			
					7) Child abused alcohol or drugs			
8) Witnessed regular adult abuse of alcohol or drugs								
Option	a) If 4 or more are Yes	b) If 3 are Yes	c) If 2 are Yes	d) If 1 is Yes	e) If all are No			
Score	20	16	12	8	0			
CPA 6 TOTAL:								

[PLEASE DO NOT SCORE QUESTION 7]

Questions and Responses		
7.0	In the last 6 months, has the household purchased any of the following assets (tick all that apply):	
		Tick if yes
	a) House (to live in)	
	b) Residential plot	
	c) Household items (TVs, radios, jewelry, furniture, clothing etc.)	
	d) Agricultural land	
	e) Business capital (tools and equipment)	
	f) Rental property	
	g) Other _____	

Thank you for your time!

To be completed later... Core Program Area	Maximum possible score (A)	HH Performance per CPA			Priority Action
		CPA Score (B)	Percent CPA Score (C=B/A*100)	CPA Rank	
1. Economic strengthening	28				
2. Food and nutrition security	12				
3. Health, water, sanitation and shelter	20				
4. Education	12				
5. Psychosocial support/basic care	20				
6. Child protection and legal support	40				
HH TOTAL SCORE:	132				

Economic vulnerability classification for this household: _____

Assessor's comments:

PPI ® for Uganda 2012

Important: A PPI score must be converted into a poverty likelihood using the PPI Look-Up Table.

Indicators	Responses	Score
1. How many members does the household have?	A. Nine or more	0
	B. Eight	3
	C. Seven	4
	D. Five or Six	6
	E. Four	8
	F. Three	12
	G. Two	21
	H. One	28
2. Are all household members ages 6 to 12 currently in school?	A. No	0
	B. Yes	2
	C. No one ages 6 to 12	5
3. Can the (oldest) female head/spouse read and write with understanding in any language?	A. No	0
	B. No female head/spouse	0
	C. Yes	3
4. What type of material is mainly used for construction of the wall of the dwelling?	A. Un-burnt bricks with mud, mud and poles, or other	0
	B. Un-burnt bricks with cements, wood, tin/iron sheets, concrete/stones, burnt stabilized bricks, or cement blocks	4
5. What type of material is mainly used for construction of the roof of the dwelling?	C. Thatch, or tins	0
	D. Iron sheets, concrete, tiles, asbestos, or other	5
6. What source of energy does the household mainly use for cooking?	A. Firewood, cow dung, or grass (reeds)	0
	B. Charcoal, paraffin stove, gas, biogas, electricity (regardless of source), or other	6
7. What type of toilet facility does the household mainly use?	A. No facility / bush / polythene bags/ bucket, etc. or other	0
	B. Uncovered pit latrine (with or without slab), Ecosan (compost toilet), or covered pit latrine without slab	4
	C. Covered pit latrine with slab	6
	D. VIP latrine, or flush toilet	11
8. How many mobile phones do members of your household own?	A. None	0
	B. One	7
	C. Two	12
	D. Three or more	22
9. Does any member of your household own a radio?	A. Yes	0
	B. No	7
10. Does every member of your household have at least one pair of shoes?	A. No	0
	B. Yes	9
Total Score:		